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| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): OLAV SOLGAARD ET AL. | | | Docket No. UC97-156-8 |
| Serial No. 09/849,096 | Filing Date MAY 4, 2001 | Examiner LEE, JOHN D. | Group Art Unit |
| AUG 2 8 | | OPTICAL SWITCH | |
| | following correspondence: Citation (PTO-1449) (Page 1) | | |
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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | Docket No. UC97-156-8 | |
| In Re Application Of: | DLAV SOLGAARD ET AL. | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | |
| 09/849,096 | MAY 4, 2001 | LEE, JOHN D. | 2874 | |
| AUG 2 8 2002 | ENGTH CROSS-CONNECT OPT | CICAL SWITCH | #11/IDS maph | |
| TRADEMENT | Assistant Con | Address to: Assistant Commissioner for Patents Washington, D.C. 20231 | | |
| , | 37 C | FR 1.97(b) | CEIVED -5 2002 | |

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office

Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

8 09/03/2002 WABDELR1 00000035 09849096

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMEN Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) UC97-156-8 In Re Application: OLAV SOLGAARD ET AL. Serial No. Filing Date Examiner Group Art Unit 09/849,096 MAY 4, 2001 LEE, JOHN D. 2874 VELENGTH CROSS-CONNECT OPTICAL SWITCH AUG 2 8 2002 ECHNOLOGY CENTER 2800 Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of \$180.00 is attached. ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service Patent and Trademark Office (F as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. (Date) Signature Signature of Person Mailing Correspondence Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: 8/2/02 Signature JOHNA. O'BANION, REG. NO. 33,201 O'BANION & RITCHEY LLP **400 CAPITOL MALL, SUITE 1550** SACRAMENTO, CA 95814 (916) 498-1010 cc:

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| 09/849,096 | MAY 4, 2001 | LEE, JOHN D. | 2874 | | | | | |
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| Invention: MULTI-WA | Invention: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH | | | | | | | |
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| | | Jerry V. King (Typed or Printed Name of Person Maili) | a Correspondence | | | | | |
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| | e following correspondence: | | |
| Citations (2) | | | |
| 37 CFR 1.10 in an env | relope addressed to: The Assista | Jerry V. King (Typed or Printed Name of Person Mailing Co EL508300778L ("Express Mail" Mailing Lab | shington, D.C. 20231 on Grespondence) rrespondence) JS |
| | Note: Each paper must ha | ive its own certificate of mailing. | RECEIVED SEP -5 2002 TECHNOLUCY CELVIER 2800 |